



## **Troutman Sanders LLP Anti-Money Laundering and Counter Terrorism Practice Team**

The AML and Counter Terrorism Practice Team is a fully integrated, multi-practice group team which brings together expertise and experience from the law enforcement, regulatory agency and intelligence community. The team of more than 40 lawyers is led by Roscoe C. Howard Jr., former United States Attorney for the District of Columbia and includes former senior lawyers from the Department of Justice, other United States Attorneys offices, the Central Intelligence Agency, State Attorneys General, county District Attorneys, the Office of the Comptroller of the Currency and the Federal Deposit Insurance Corporation.

Our attorneys regularly handle AML and terrorist financing matters for banks, investment banks, insurance companies, money service businesses, mutual funds, and other corporate entities across the United States and have assisted international companies with cross border AML and terrorist financing issues. We are especially adept with investigations, including investigations required or necessary because of law enforcement involvement, regulatory agency actions, pending or contemplated litigation or Board of Directors/senior management mandates. We have handled AML/terrorist financing issues involving the Comptroller of the Currency, the Federal Deposit Insurance Corporation, the Board of Governors of the Federal Reserve System and various Federal Reserve Banks, the Office of Thrift Supervision, state banking departments, the Office of Foreign Assets Control, the Financial Crimes Enforcement Network, county district attorneys, the Internal Revenue Service, the Securities and Exchange Commission and the Financial Industry Regulatory Authority.

Our attorneys draft and review policies and procedures, respond to reports of examination and corrective action letters, respond to subpoenas, national security letters and other governmental requests for information and documents, negotiate formal and informal administrative and supervisory documents, negotiate settlement agreements, deferred prosecution agreements and other agreements with law enforcement, design and review internal control processes and procedures, conduct due diligence, conduct "look backs," conduct independent reviews and self assessments, file suspicious activity reports, file blocked properties and transactions reports, handle wire transfer matters, including SWIFT, CHIPS and Fedwire, and provide comprehensive training. Where necessary, we also litigate cases on behalf of our clients.



## Atlanta Office Contacts



Thomas Powell

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404-885-3294

Tom has represented financial institutions for more than 30 years. He has achieved Martindale-Hubbell's highest rating for legal ability and ethical standards and was selected as a Super Lawyer by Law & Politics and Atlanta Magazine for Banking and Financial Law excellence (2004, 2005, 2006). He is recognized as one of America's Leading Lawyers for Banking and Financial Law by Chambers USA (2003, 2004, 2005, 2006) and recognized in The Best Lawyers in America in Banking Law in the 2007 edition.

### **EDUCATION**

University of Georgia (J.D., 1973)

University of Georgia (B.B.A., 1970)

### **MEMBERSHIPS**

State Bar of Georgia

American Institute of Certified Public Accountants

Georgia Society of Certified Public Accountants

Georgia Bankers Association (Chairman, Board of Directors, Bank Counsel Section)



Martin M. Wilson

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Marty has represented insurance companies and insurance brokers and agents since leaving state government in 1990. He is a former Deputy Legislative Counsel for the Georgia General Assembly and Deputy Commissioner for the Georgia Office of Insurance and Safety Fire Commissioner.

**EDUCATION**

Mercer University (J.D., 1978)

Mercer University (B.A., 1975)

**MEMBERSHIPS**

State Bar of Georgia

Life Insurers Council/LOMA (Associate Member)

American Council of Life Insurers (Counsel)

Georgia Association of Life Insurance Companies (Counsel)

NAIFA – Georgia (Adviser)

Georgia Property and Casualty Insurance Council (Member)



## New York Office Contacts



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212-704-6249

Rori is an experienced trial lawyer with more than 30 years experience representing financial institutions primarily in commercial litigation and lender liability. She is the Managing Partner of the New York Office and a member of the Executive Committee of Troutman Sanders LLP.

### **EDUCATION**

New York University (J.D., 1975)

Brooklyn College of the City University of New York (B.A., 1972)

### **MEMBERSHIPS**

New York State Bar Association

New York State Women's Bar Association

American Bar Association, Section of Litigation

Commission on Fiduciary Appointments (Birnbaum Commission)



Elliot Cohen

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Elliot is an experienced trial lawyer with more than 30 years experience in securities litigation and securities brokerage firm arbitration. Elliot was selected as a Super Lawyer by Law & Politics (2006) for Business Litigation excellence.

**EDUCATION**

New York University (J.D., 1973)  
Brandeis University (B.A., 1969)



Jerome Walker

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Jerome handles anti-money laundering and terrorist financing issues for banks, investment banks, money service businesses and insurance companies. He is a former General Counsel and Compliance Officer for The Hongkong and Shanghai Banking Corporation Limited and a former in-house lawyer for National Bank of Detroit and Marine Midland Bank. During his tenure with the HSBC Group, he served as AML/OFAC counsel for many of the US based members of the HSBC Group. He is also a former Senior Attorney for the Northeastern District Office of the Comptroller of the Currency and formerly the Head of Bank Regulatory Compliance for Deutsche Bank Americas, the Chief Compliance Officer for Willis Group North America and the Chief Compliance Officer for James Capel. During his tenure with the Comptroller of the Currency, Deutsche Bank Americas and Willis, he also had responsibility for AML/OFAC issues.

#### **EDUCATION**

New York University (J.D., 1984)  
Morehouse College (B.A., 1981)

#### **MEMBERSHIPS**

New York County Lawyers' Association (past Banking Committee Chairman)  
New York State Bar Association  
The Institute of Internal Auditors  
American Bar Association  
Institute of International Bankers (Professional Liaison Committee)  
New York State Society of Certified Public Accountants (Anti-Money Laundering and Terrorist Financing Committee, Professional Liaison)  
Honorary Member, Board of Directors, New York University School of Law Alumni Association  
Association of Certified Anti-Money Laundering Specialists  
AML Strategic Leadership Group



## Richmond Office Contacts



Jacob A. Lutz III

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Jake has represented commercial banks for more than 25 years. He is a former Senior Regional Attorney for the Federal Deposit Insurance Corporation and is the Practice Group Leader for the Financial Institutions Group. Jake has been recognized in The Best Lawyers in America in Banking Law since 1995; and in Financial Institutions and Transactions Law in the 2005-2006 edition. He was elected one of Richmond's and Virginia's Super Lawyers in 2006 and named as a Virginia Super Lawyer in Banking Law (2006).

### **EDUCATION**

College of William and Mary (J.D., 1981)

Virginia Polytechnic Institute & State University (B.S., 1978)

### **MEMBERSHIPS**

Virginia Bar Association

Virginia State Bar

Tennessee Bar Association

American Bar Association

American Bar Foundation



Ashley L. Taylor Jr.

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Ashley has handled regulatory enforcement matters before administrative agencies, including state Attorneys General, for more than 10 years. He is a Commissioner, United States Commission on Civil Rights (appointed by President George W. Bush) and a former Deputy Virginia Attorney General (counsel to 20 state agencies and The Offices of The Secretary of Health and Human Services and The Secretary of Education). He was named to Virginia Business magazine's Legal Elite in Legislative and Regulatory law (2004), Civil Litigation (2005, 2006), and Young Lawyer (2006). He was also recognized as a Virginia Super Lawyer in Civil Litigation Defense (2006). Ashley is a member of the Executive Committee of Troutman Sanders LLP and the Chairman of the Firm's Attorneys General Practice Team.

#### **EDUCATION**

Washington & Lee University (J.D., 1993)

Virginia Military Institute (B.A., 1990)

#### **MEMBERSHIPS**

Member, Advisory Board, Consolidated Bank and Trust Company

Member, Virginia Bar Association's Committee on Federal Judgeships, Eastern District

Member, Advisory Board, United States Election Assistance Commission

Member, Commission on Virginia Courts in the 21st Century, appointed by Chief Justice Hassell

Former Member, Executive Committee, Virginia Bar Association, Young Lawyers Division

Former Member, Executive Counsel, American Bar Association, Young Lawyers Division

Former Member, Advisory Board - West End Community Bank (Richmond)

Former Director, Virginia Community Development Loan Fund

Former Trustee, Norfolk Collegiate

Former Director, Virginia Military Institute Athletic Association (The Keydet Club)



## Washington, D.C. Office Contacts



Roscoe Howard

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202-274-2960

Roscoe has represented financial institutions for more than 25 years. He is a former United States Attorney for the District of Columbia and Professor of Law, University of Kansas School of Law. He also served as an Associate Independent Counsel, Office of Independent Counsel, In Re Secretary of Agriculture A. Michael Espy (The Honorable Donald C. Smaltz), Alexandria, Virginia and an Associate Independent Counsel, Office of Independent Counsel, In Re Secretary of H.U.D. Samuel R. Pierce (The Honorable Arlin M. Adams), Washington, D.C. Roscoe also served as an Assistant United States Attorney, United States Attorney's Office for the Eastern District of Virginia, Richmond Division and Alexandria Division and a Staff Attorney for the Federal Trade Commission, Bureau of Competition, Washington, D.C. He was named as one of Washington, D.C.'s "Top Lawyers", Washingtonian Magazine (December, 2004) and honored as one of America's Top Black Lawyers, Black Enterprise Magazine (November, 2003 edition). He received the Federal Bureau of Investigation Award of Appreciation for Exceptional Service in the Public Interest, April, 1991, William S. Sessions, Director and a Special Achievement Award for Sustained Superior Performance, The United States Department of Justice, United States Attorney's Office for the Eastern District of Virginia, Richmond Division (Fiscal Year 1990).

### **EDUCATION**

University of Virginia (J.D., 1977)

Brown University (A.B., 1974)

Culver Military Academy (, 1970)

### **MEMBERSHIPS**

Member of the Board of Directors, Canada-U.S. Fulbright Program (2005-present), Audit Committee and Communications Committee (Chairman)

Member of the Board of Directors, Roger Williams University School of Law (2005-present)

Member of the Editorial Board of the National Law Journal (2004-present)

Member of the Attorney General's Advisory Committee (2001-2004)



Chair, University of Kansas Committee charged with investigating the Academic Integrity of Varsity Sports and the Athletics Department at the University (2000-2001)  
Member of the Evidence Section, The Association of American Law Schools, Executive Committee (2001), Advisory Board (1996-1999)  
Board of Trustees, Culver Educational Foundation, Culver, Indiana (1989-1997)



Nathan J. Muyskens

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202-274-2900

Nathan is an experienced trial lawyer with more than 10 years experience in criminal grand jury investigations and prosecutions, internal investigations, regulatory inquiries and enforcement matters, and related parallel civil proceedings. He is a former attorney for the Bureau of Competition; Federal Trade Commission, a former Counsel, Subcommittee on Oversight and Investigations of the Committee on Education and the Workforce; United States House of Representatives, a former Associate Independent Counsel, Office of Independent Counsel In Re Espy, a former Counsel to United States Senator Sheila Frahm and United States Senator Robert J. Dole.

**Education**

University of Kansas (J.D., 1995)

University of Virginia (B.A., 1992)



Mark E. Nagle

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Mark has more than 25 years of experience in trial and appellate litigation before federal and state courts and administrative tribunals. He is a former Civil Chief, U.S. Attorney's Office for the District of Columbia, former Deputy Chief, U.S. Attorney's Office for the District of Columbia, Civil Division, and former Attorney, U.S. Department of Justice. He was voted by his peers to be included in The Best Lawyers in America in the specialty of Administrative Law. He also received the Secretary of the Interior's Special Commendation, presented personally by Secretary Gale Norton, the Director's Award for Superior Performance in a Managerial/Supervisory Role, presented by the Director of the Executive Office for U.S. Attorneys, Department of Justice, and the Harold Sullivan Award, presented annually to one AUSA by the Assistant United States Attorney's Association for outstanding achievements as a trial attorney. Mark was selected for inclusion in the 2007 edition of Best Lawyers in America and the 2007 list of Washington, D.C. Super Lawyers.

**EDUCATION**

Georgetown University (J.D., 1979)

Emory University (B.A., 1976)



Stuart F. Pierson

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Stu is an experienced trial lawyer with more than 35 years experience. He is the Practice Group Leader for the Firm's Special Investigations Practice Group. He is a former Special Assistant U.S. Attorney, Western District of Washington, Assistant U.S. Attorney, Western District of Washington and Trial Attorney, Civil Rights Division, U.S. Department of Justice.

#### **EDUCATION**

Duke University (J.D., 1968)

Hobart College (B.A., 1965)

Stanford University (, 1964)

#### **MEMBERSHIPS**

Member, Committee on Unauthorized Practice of Law of the District of Columbia Court of Appeals

D.C. Bar Committee on the Revision of D.C.C.A. Rule 49

Chair, Committee on Defamation Law and Media Torts, TIPS, American Bar Association

District of Columbia Bar Committee on Revision of the Local Rules of the U.S. District Court for the District of Columbia

National Association of Criminal Defense Lawyers

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Daniel S. Seikaly

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Dan is an experienced trial lawyer with more than 35 years experience. He is a former Chief, Criminal Division, United States Attorney's Office for the District of Columbia, Assistant Inspector General for Investigations, Central Intelligence Agency, Associate Deputy Attorney General, Department of Justice, Director, Executive Office for National Security, Chief, Transnational and Major Crimes Section, United States Attorney's Office for the District of Columbia, Assistant United States Attorney, United States Attorney's Office for the District of Columbia, Executive Director, District of Columbia Law Revision Committee, and Professor of Law, Antioch School of Law.

**EDUCATION**

Wayne State University Law School (J.D., 1971)

Michigan State University (B.A., 1967)